

Capital Investment Advisors

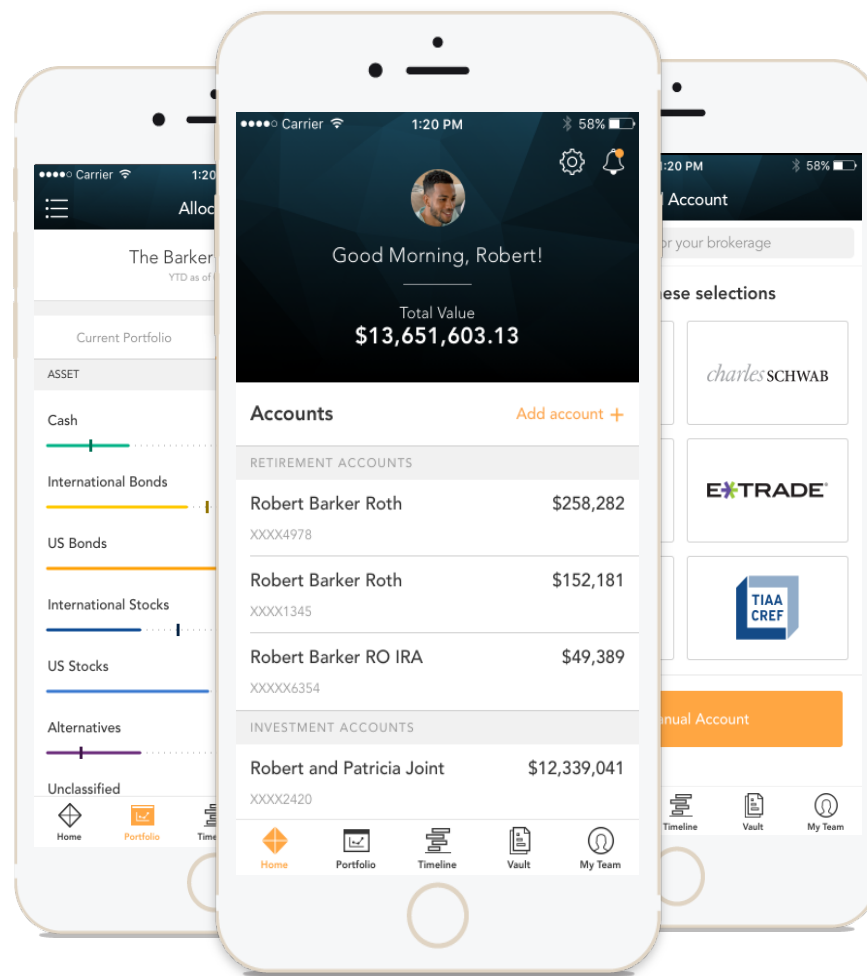
Your Financial Portal



CAPITAL
INVESTMENT
Advisors

Personalized for You

From your portal, you have access to unique information and insights, account details, your investment dashboard, a document vault, instant access from any of your devices and more.



Home Page

When you log in, you'll see your personalized home page. Across the top, you have quick access to the other pages of your portal.

Your accounts and total portfolio value are listed front and center. Next to your accounts, you'll also find your top holdings for quick reference.

When you log in, you'll see your personalized home page. Across the top, you have quick access to the other pages of your portal.

You can stay connected to your financial team with clickable links to phone numbers, email addresses and office locations.

You can also quickly contact your advisor while on the go. In addition to contacting us, we will send you communications and updates from your team—all directly within the portal.

Home Page

View notifications from your advisor

CAPITAL INVESTMENT Advisors

HOME NET WORTH ▾ PORTFOLIO ▾ TIMELINE VAULT

CHRISTINA

Good Morning, Christina!

Total Value
\$5,017,475.46

Accounts

Category	Account Name	Value
Brokerage	Rogers Joint Account XXXXX1886	\$601,201.59
	Rogers Individual XXXXX6736	\$49,901.19
Mortgage	Rogers Primary Mortgage XXXXXXXXXXXXGAGE	-\$381,421.35
	Rogers FI Strategy XXXXX8865	\$799,952.63
Trust	Nick Rogers IRA XXXXX68EC	\$288,301.53
	Rogers Family Trust XXXXX2263	\$1,497,107.51
	Rogers Irrevocable Trust	\$149,083.21

My Financial Team

- Brad McDonald, Advisor
- George Wayne, Advisor
- Erica Campbell, Portfolio Manager

Watch List

Symbol	Price
SSNC	57.17
SS&C TECHNOLOGIES HLDGS INC.COM	-0.24

Quickly view your accounts as an aggregate total or grouped by category

Communicate or schedule an appointment with your financial team directly

Pick and choose stocks, ETFs and mutual funds that matter to you to track daily

Home Page

The screenshot shows a financial dashboard with a navigation bar at the top containing 'HOME', 'NET WORTH', 'PORTFOLIO', 'TIMELINE', and 'VAULT'. The main content is organized into several sections:

- Trust:** A list of trusts with their names and net worth values.

Trust Name	Net Worth
Rogers Family Trust	\$1,497,107.51
Rogers Family Trust	\$1,365,935.91
Charles Family Trust	\$267,510.13
Rogers Irrevocable Trust	\$149,083.21
- Education:** A list of education-related accounts.

Account Name	Net Worth
Michelle's 529	\$115,130.21
Rogers 529	\$41,126.11
Connor's 529	\$11,488.44
- Credit Cards:** A list of credit cards.

Card Name	Balance
Rogers American Express	-\$65,000.00
- Corporate:** A list of corporate accounts.

Company Name	Net Worth
Charles & Co.	\$395,593.73
Rogers & Co.	\$180,782.31
- Real Estate:** A section for real estate holdings.

On the right side, there are three main panels:

- Top Holdings:** A table showing the top holdings with their symbols and percentages.

Symbol	Percentage
XOM	13%
ROGERS HOME	13%
DFSMX	9%
CHDVX	5%
VDIGX	4%
DFTIX	3%
CVSIX	2%
CASH	2%
SAMBX	2%
FPACX	2%
- External Links:** A list of links to various resources.
 - Wells Fargo
 - Bank of America
 - Suntrust
 - The Future of BD's Client Experience
 - Black Diamond
- Google:** A list of news feeds from Google.
 - All You Need to Know About Last Night's 2020 Democratic Debate - The New York Times
 - Turkey's leader rebuffs US call for Syria ceasefire, says he'll meet Pence, not just Trump - USA TODAY
 - White House directed 'three amigos' to run Ukraine policy, senior State department official tells House investigators - The Washington Post
 - Pete Buttigieg and Beto O'Rourke's feud over assault weapon buybacks boils over at the Democratic debate - Vox.com

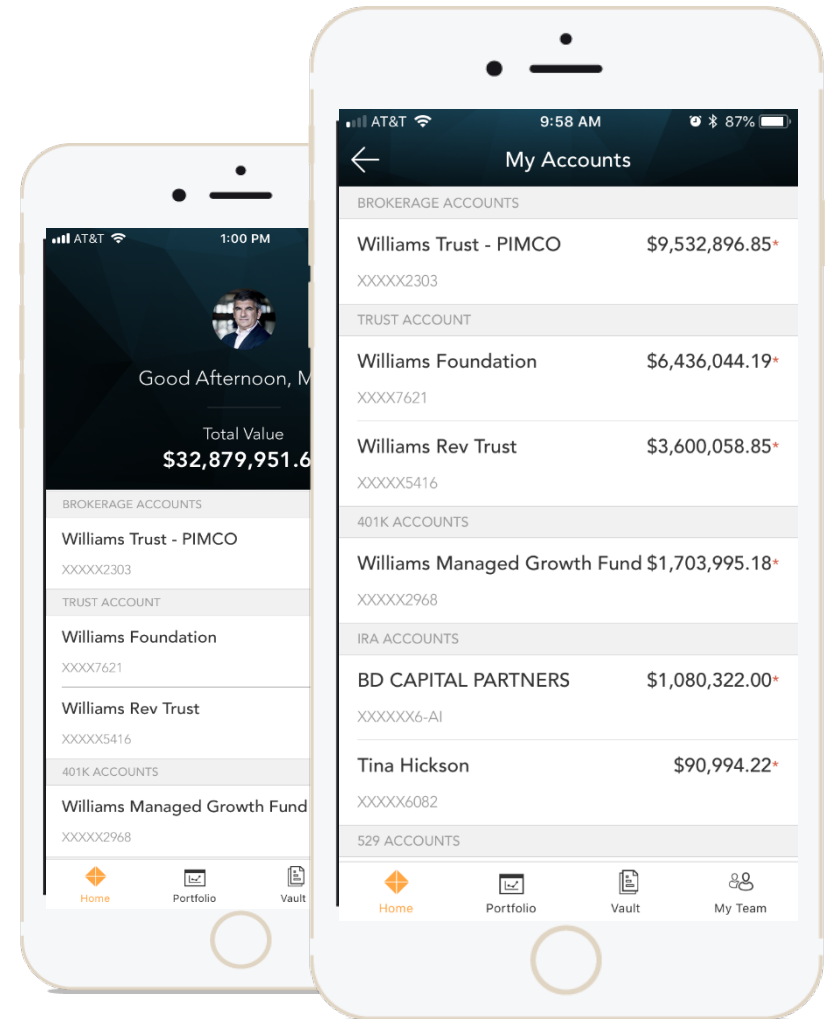
Three callout boxes with arrows point to these sections:

- View your top holdings at a glance:** Points to the Top Holdings table.
- Use the quick links we have provided to view our latest blog posts, events, etc.:** Points to the External Links list.
- We have provided you more links to the latest news feeds to provide rich information within your portal!:** Points to the Google news feeds.

Net Worth

Within the Net Worth space, the My Accounts page provides a detailed list of your accounts with the ability to aggregate and manage outside accounts or manual accounts. Balances and statuses are visible at a glance. You can expand each account to see your holdings and their individual values.

The Balance Sheet page provides you a breakdown of your net worth by assets and liabilities. Capture your full financial wealth in one place!



My Accounts

HOME NET WORTH ▾ PORTFOLIO ▾ TIMELINE VAULT

Accounts

\$5,017,475.46
Total Value

12 Accounts

0 Added Institutions

[Add Account](#)


My Accounts: 12 [Collapse All](#)

Account Number	Account Name	Custodian	Value ▾	As of Date	Last Updated
> XXXXX2263	Rogers Family Trust	Fidelity IWS	1,497,107.51	12/31/2015	--
> XXXXXXHOME	Rogers Family Home	Manual Account	1,100,000.00	12/31/2015	--
> XXXXX8865	Rogers FI Strategy	MorganStanley	799,952.63	12/31/2015	--
> XXXXX8-AI	BD Capital Partners	Alternative Investme...	756,440.72	12/31/2015	08/03/2015
> XXXXX1886	Rogers Joint Account	Schwab PC	601,201.59	12/31/2015	--
> XXXXX68EC	Nick Rogers IRA	National Financial	288,301.53	12/31/2015	--
> XXXXX5090	Rogers & Co.	TD Ameritrade	180,782.31	12/31/2015	--
> XXXXX1639	Rogers Irrevocable Trust	Pershing Advisory So...	149,083.21	12/31/2015	--
> XXXXX6736	Rogers Individual	LPL Financial Accounts	49,901.19	12/31/2015	--
> XXXXX9539	Rogers 529	Pershing Advisory So...	41,126.11	12/31/2015	--
> XXXXXXAMEX	Rogers American Express	Manual Account	-65,000.00	12/31/2015	--
▾ XXXXXXXXXXXGAGE	Rogers Primary Mortgage	Alternative Investme...	-381,421.35	12/31/2015	--

Asset Name	Symbol	Value	Units @ price	Last Updated
Rogers Primary Mortgage	1388_ROGERS_MTGE	-381,421.35	-381,421 @ \$1.00	12/31/2015

Add outside or manual accounts to view your entire financial picture from one secure location

Click on accounts to view holding level detail



CAPITAL INVESTMENT
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Balance Sheet

Export your data table directly to Excel

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HOME NET WORTH ▼ PORTFOLIO ▼ TIMELINE VAULT

Balance Sheet As of 12/31/2015 ▼ [Export](#) [Add Account](#)

TOTAL NET WORTH: \$5,017,475.46 ASSETS: \$5,463,896.81 LIABILITIES: \$446,421.35

Total Net Worth

View a quick breakdown of your total net worth's assets and liabilities

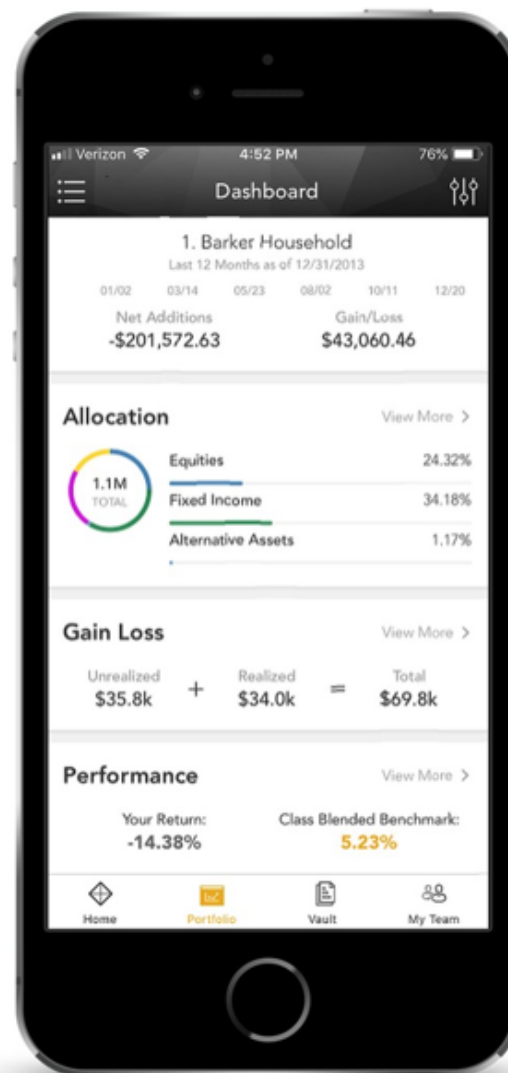
Name	Allocation %	Tax Status	Joint	Trust	Other	Total
▼ Net Worth	--		\$1,506,725.52	\$2,777,889.83	\$732,860.11	\$5,017,475.46
▼ Assets	100%		\$1,506,725.52	\$2,777,889.83	\$1,179,281.46	\$5,463,896.81
▼ Investment Accounts	80%		\$1,506,725.52	\$1,677,889.83	\$1,179,281.46	\$4,363,896.81
▼ Brokerage	12%		\$601,201.59		\$49,901.19	\$651,102.78
XXXXX1886 - Rogers Joint Account	11%	Taxable	\$601,201.59			\$601,201.59
XXXXX6736 - Rogers Individual	1%	Taxable			\$49,901.19	\$49,901.19
▼ Retirement	20%				\$1,088,254.16	\$1,088,254.16
XXXXX68EC - Nick Rogers IRA	5%	Tax-Deferred			\$288,301.53	\$288,301.53
XXXXX8865 - Rogers FI Strategy	15%	Taxable			\$799,952.63	\$799,952.63
▼ Trust	30%		\$149,083.21	\$1,497,107.51		\$1,646,190.72
XXXXX2263 - Rogers Family Trust	27%	Taxable		\$1,497,107.51		\$1,497,107.51
XXXXX1639 - Rogers Irrevocable Trust	3%	Taxable	\$149,083.21			\$149,083.21
▼ Education	1%				\$41,126.11	\$41,126.11
XXXXX9539 - Rogers 529	1%	Tax-Deferred			\$41,126.11	\$41,126.11
▼ Corporate	3%			\$180,782.31		\$180,782.31
XXXXX5090 - Rogers & Co.	3%	Taxable		\$180,782.31		\$180,782.31
▼ Partnerships	14%		\$756,440.72			\$756,440.72
XXXXX8-AI - BD Capital Partners	14%	Taxable	\$756,440.72			\$756,440.72
▼ Real Assets	20%			\$1,100,000.00		\$1,100,000.00
▼ Real Estate	20%			\$1,100,000.00		\$1,100,000.00

Portfolio

The Portfolio dashboard is where you can view additional details about your portfolio. The dashboard gives you a dynamic overview with performance cards highlighting key information.

To get even more detail, you can click on the title of each card. You can also use the drop-down menu to switch between the different cards quickly.

All of this is completely customizable using the filters to select specific date ranges, portfolios or accounts.



Portfolio

Run reports directly from your portal

Change your portfolio or filter for specific accounts

Update Supervised and Performance Return settings

HOME NET WORTH PORTFOLIO TIMELINE VAULT

Rogers Family ▾ Filter

Quarter To Date as of 12/31/2015

Activity Summary >

Beginning Value	3,638,764.70
Net Additions	242,897.54
Gain/Loss	100,813.21
Ending Value	3,982,475.46

Allocation >

4.0M

Group By: Classes ▾

Equities	58%	2,302,709.73
Fixed Income	26%	1,031,065.75
Alternative Assets	10%	394,600.65
Cash & Equivalents	6%	245,141.14
Unclassified	0%	8,958.79

Net Worth >

Your Net Worth

5,017,175

Assets

- Investment Accounts: 5.5M
- Real Assets: 20%

Liabilities

- Total Loans: 446.4K
- 100%

Performance >

Rogers Family

2.65%

Gain Loss >

Unrealized	1.8M	+	Realized	-43.7K	=	Total	1.8M
Unrealized Gain/Loss	1,805,876.70						
% UGL	31.55%						
Short-Term	249,116.05						
Long-Term	1,556,760.65						
Realized Gain/Loss	-43,662.01						
% RGL	-35.87%						
Short-Term	-10,183.78						
Long-Term	-33,478.24						

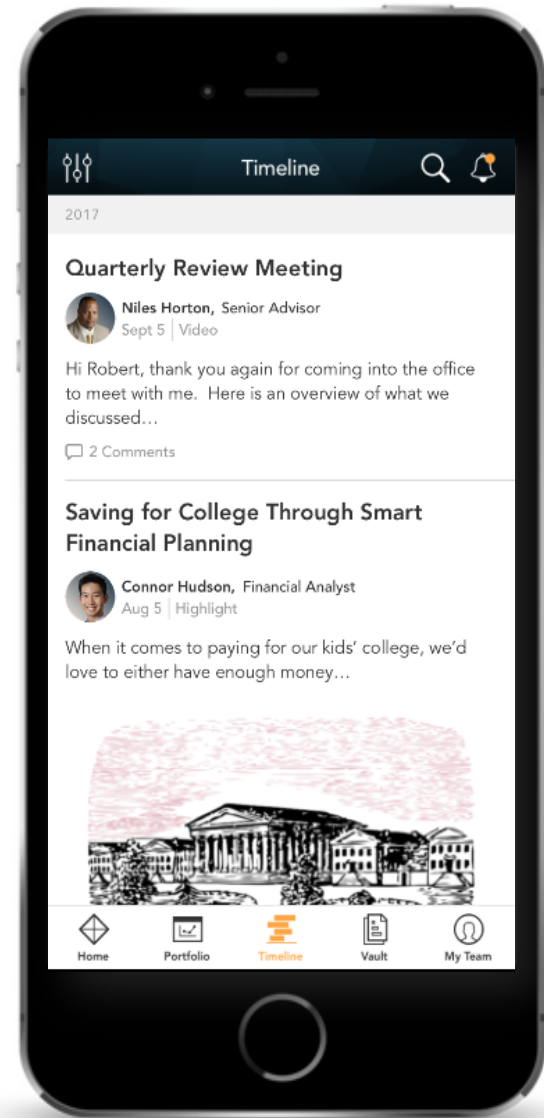
Transactions >

Date	Type - Symbol	Amount
12/31/15	Management Fee - CASH	-122.70
12/31/15	Management Fee - CASH	-122.70
12/31/15	Management Fee - CASH	-65.26
12/31/15	Income Reinvestment - GMBXX	0.11
12/31/15	Dividend - GMBXX	0.11
12/31/15	Buy - GMBXX	0.11
12/31/15	Management Fee - CASH	-444.81
12/31/15	Management Fee - CASH	-444.81
12/31/15	Management Fee - CASH	-236.55
12/31/15	Management Fee - CASH	-692.30

Relationship Timeline

The Relationship Timeline is a consolidated, curated feed of posts designed to memorialize interactions that occur between you and your team! There are countless events and activities representing your financial life journey, and Timeline is designed to capture this activity over time.

We view this as a great communication tool between you and us!



Relationship Timeline

The screenshot displays the 'Timeline' section of the Capital Investment Advisors website. At the top, the navigation bar includes 'HOME', 'NET WORTH', 'PORTFOLIO', 'TIMELINE', and 'VAULT'. The 'TIMELINE' tab is active. On the left, a search bar is present with a callout box that says 'Search post content and titles'. The main content area is divided into two months: 'DECEMBER 2018' and 'NOVEMBER 2018'. Under 'DECEMBER 2018', there is a post titled 'Upcoming Meeting' by Erica White, Portfolio Manager, dated Dec 1, 2018. The post content includes a greeting, a message about an upcoming meeting, and a sign-off from the Deliver Wealth Management Team. Below this are two shorter posts: one by Matt Fuchs dated Nov 16, 2018, and one by Maritza Paredes dated Jun 4, 2019. Under 'NOVEMBER 2018', there is a post titled 'Breaking Up Is Hard To Do: How To Leave Your Big Name Bank' by Nelson Greene, Advisor, dated Nov 17, 2018. A callout box with a double-headed arrow points to the bottom of this post, saying 'Scroll to see post history'. On the right side of the page, there is a sidebar with the company logo, contact information (email, phone, address), an 'About Us' section, and a 'My Financial Team' section featuring headshots and names of advisors: Brad McDonald, George Wayne, and Erica Campbell.

Search post content and titles

Scroll to see post history

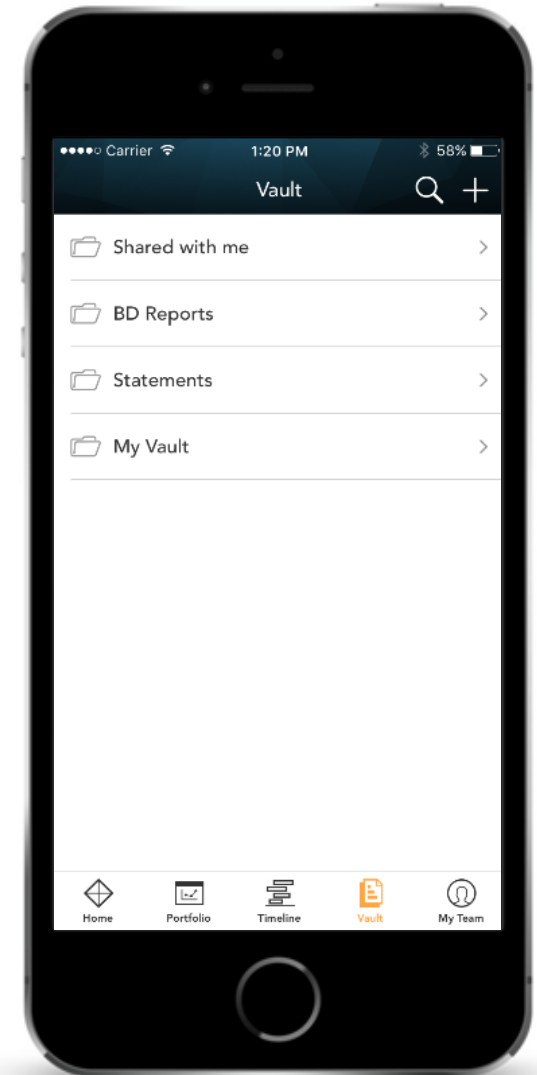
Vault

Securely sharing and managing documents is key to working with your wealth management team. The Vault page is where you can keep track of all your important financial and legal documents.

From here, you can organize your documents into folders, drag-and-drop to upload new documents and easily move files from one folder to another.

The Vault is also an area where we can share documents with each other through the Shared Folders option.

From the Statements and Reports folders, you have quick access to view investment-focused reports created by your financial team.



Vault

Securely store documents/files, share items with your financial team, and view generated reports and custodial statements

The screenshot displays the 'Vault' interface. At the top, a navigation bar includes 'HOME', 'NET WORTH', 'PORTFOLIO', 'TIMELINE', and 'VAULT'. A sidebar on the left lists 'My Files', 'Shared With Me', 'Trash', 'Reports', and 'Statements'. The main area is titled 'My Files' and contains a table of files. A toolbar above the table offers actions: 'Rename', 'Share', 'Move', 'Delete', 'Download', and 'New'. The table lists 'Michael's Documents' and 'Tax Documents', both owned by 'M. Persin' and last modified on '05/08/2018'. A right-hand pane shows details for 'Michael's Documents', including the owner 'Mike Persin' and creation date '05/08/2018'. A search bar is located in the top right corner.

Name	Owner	Last Modified	File Size
<input checked="" type="checkbox"/> Michael's Documents	M. Persin	05/08/2018	--
<input type="checkbox"/> Tax Documents	M. Persin	05/08/2018	--

Quickly edit, move or download your files as needed

Drag-and-drop your files into the document space to upload

Login Problems

How to access your account if you have trouble signing into the site.

