Capital Investment Advisors

Financial Planning Pre-Meeting Questionnaire

Where Have You Seen Us? (Check all that apply.)

- ☐ About.com
- ☐ Atlanta Journal Constitution (AJC)
- ☐ Facebook/Twitter/ LinkedIn
- ☐ Fidelity
- ☐ Google Ad/Search
- ☐ Local Event____________________________
- ☐ "Money Matters" Radio Show
- ☐ Referral by____________________________
- ☐ Schwab
- ☐ "You Can Retire Soon Than You Think" by Wes Moss

Client Information

Client A.

Name: Last____________________First____________________Middle____________________

Home Address: Number______________Street____________________Apt/Unit____________________

City____________________State____________________Zip Code____________________

Work Phone:____________________Cell Phone____________________Email____________________

Occupation:____________________Employer____________________Date of Birth____________________

Desired Retirement Age:_____Emergency Contact: Name____________________Phone____________________

Client B.

Name: Last____________________First____________________Middle____________________

Home Address: Number______________Street____________________Apt/Unit____________________

City____________________State____________________Zip Code____________________

Work Phone:____________________Cell Phone____________________Email____________________

Occupation:____________________Employer____________________Date of Birth____________________

Desired Retirement Age:_____Emergency Contact: Name____________________Phone____________________

Income

Clients	A	B	Totals
Salary			
Pension			
Social Security			
Other			
Total Income			

Children	Age

Retirement Income

Estimated Monthly Needs: ____________

Monthly Retirement Income: ____________

Shortfall/Surplus: ____________

Goals & Objectives

Real Estate

Primary Residence:

Other:

Debt

Credit Card:

Auto:

Home Equity:

Other:

Estate Plans

Wills:

Trusts:

Power of Attorney:

Insurance (Life, Disability, LTC)

Policy Holder	Type	Amount	Notes

Investments (401K, Roth, IRA, Brokerage, Trust)

Account Holder	Type	Amount	Notes

Date: ____________ Advisor: ____________ CALI: ____________